

PTO/SB/088 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

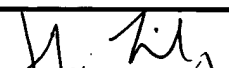
(Use as many sheets as necessary)

**Complete if Known**

Sheet		of		Application Number	10/743,616
				Filing Date	12/22/2003
				First Named Inventor	Jeff S. Eder
				Art Unit	3624
				Examiner Name	
				Attorney Docket Number	VM - 61

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JL		KOLLER, TIMOTHY, "What is value based management", McKinsey Quarterly, 1994, Number 3	
JL		BROWN, CAROL; COAKLEY, JAMES; PHILLIPS, MARY ELLEN, "Neural Networks Enter World of Mgmt Accounting,	
JL		BIELINSKI, DANIEL, "How to sort out the premium drivers of post deal value", Mergers & Acquisitions,	
JL		BERGSTROM, PETER; KIMBER ELIOT, "Formal data models for SGML and HyTime", SGML, March 1999, electrum	
JL		HAROLD, ELLIOTTE, XML Bible, IDG Books, 1999	
JL		KNIGHT, JAMES, Value Based Management, McGraw Hill, 1998	
JL		NeuroSolutions, Application Summaries	
JL		COPELAND, TOM, KOLLER, TIM, MURRIN, JACK, Valuation, John Wiley and Sons, 1994	
JL		BROWN, CAROLYN, PHILLIPS, MARY ELLEN, Expert Systems for Management Accounting Tasks, IMA Foundation	

Examiner Signature		Date Considered	1/25/2007
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/743.616
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Filing Date	12/22/2003
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First Named Inventor	Jeff S. Eder
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Am Unit	3624
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**Examiner Name**

Attorney Docket Number	AR - 61
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Examiner Signature	<i>[Signature]</i>	Date Considered	1/25/2007
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>		
		Application Number	10/743,816	
		Filing Date	12/22/2003	
		First Named Inventor	Jeff S. Eder	
		Art Unit	3624	
		Examiner Name		
Sheet		of	Attorney Docket Number	AR - 61

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JL		CAOQUETTE, JOHN, ALTMAN, EDWARD & NARAYANAN, PAUL, Managing Credit Risk, 1998, John Wiley and Sons	
JL		LOHR, STEVE, "Gates tries to inspire future techies", International Herald Tribune, March 2, 2004	

Examiner Signature	<i>Jeff Eder</i>	Date Considered	1/25/2007
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Substitute for form 1449/PTO		<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/743,616	
		Filing Date	12/22/2003	
		First Named Inventor	Jeff S. Eder	
		Art Unit	3624	
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JL		Chicago Board of Trade, "SPAN Margin System", 8/27/1991	
JL		Chicago Mercantile Exchange, "SPAN - Frequently Asked Questions", CME web site, 2/17/2006	

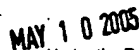
Examiner Signature	<i>[Signature]</i>	Date Considered	1/25/2007
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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	10/743.616
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Filing Date	12/22/2003
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First Named Inventor	Jeff S. Eder
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Art Unit	3624
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Examiner Name \_\_\_\_\_

Attorney Docket Number	VM - 61
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of

## U. S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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**Examiner  
Signature**

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Considered

11/25/2007

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Substitute for form 1449/PTO		<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/743,616	
		Filing Date	12/22/2003	
		First Named Inventor	Jeff S. Eder	
		Art Unit	3624	
		Examiner Name		
Sheet		of	Attorney Docket Number	AR - 61

NON PATENT LITERATURE DOCUMENTS			
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JL		Barua, Anitesh; Lee, C.H. Sophie; Whinston, Andrew, "The Calculus of Reengineering", August 1994	
JL		Haesendonckx, Michel, "VBM - Value Driver Tree", June 8, 2005	
JL		Chambers, Robert; Quiggins, John; "Resource Allocation and Asset Pricing", November 2002	

Examiner Signature	<i>Hi Jiv</i>	Date Considered	1/27/2007
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JL		Culp, Christopher et al, "Value at Risk for Asset Managers", Derivatives Quarterly, Vol 5, 01/8/99	
JL		MAIER, DAVID, "Database Desiderata for an XML Query Language"; W3.org, 1990	
JL		WIDOM, JENNIFER, "Data Management for XML, Research Directions", IEEE, July 1999	
JL		W3C, "Extensible Markup Language (XML)", W3C web site, 4/14/2005	
JL		GOLDFARB, CHARLES; & PRESCOD, PAUL; XML Handbook; Prentice Hall, 1998	

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PTO/SD/08D (08-03)

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		Application Number	10/743,616		
		Filing Date	12/22/2003		
		First Named Inventor	Jeff S. Eder		
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JL		CAQUETTE, JOHN, ALTMAN, EDWARD & NARAYANAN, PAUL, Managing Credit Risk, 1998, John Wiley and Sons	
JL		LOHR, STEVE, "Gates tries to inspire future techies", International Herald Tribune, March 2, 2004	

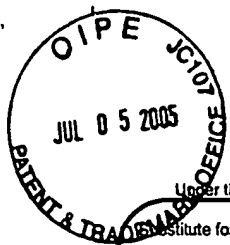
Examiner Signature	<i>M. Zly</i>	Date Considered	1/27/2007
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JL		CAOQUETTE, JOHN, ALTMAN, EDWARD & NARAYANAN, PAUL, Managing Credit Risk, John Wiley and Sons, Inc.	

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of

10/743,616

12/22/2003

**Jeff S. Eder**

3624

Attorney Docket Number

VM - 61

[illegible]Date  
Considered

427/2007

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12/22/2003

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	
Filing Date	12-22-2002
First Named Inventor	Jeff S. Eder
Art Unit	
Examiner Name	
Attorney Docket Number	VM - 61

Sheet 1 of 8

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
JL		US- 5,224,034	06-29-1993	Katz, Paul	
		US- 5,237,946	08-17-1993	Kagami, Akari	
		US- 5,128,861	07-07-1992	Kagami, Akari	
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		US- 3,749,892	07-31-1973	Stenning, Patrick	
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		US- 6,134,536	10-17-2000	Shepherd, Ian	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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Application Number	
Filing Date	12/22/2003
First Named Inventor	Jeff S. Eder
Art Unit	
Examiner Name	
Attorney Docket Number	VM-61

Sheet 2 of 8**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
JL		US- 6,332,163 - B1	12-18-2001	Bowman-Amuah, Michel	
		US- 5,802,501 - B1	9-1-1998	Graff, Richard A.	
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		Application Number	
		Filing Dat	12/22/2003
		First Named Inventor	Jeff S. Eder
		Art Unit	
		Examiner Name	
Sheet 3	of 8	Attorney Docket Number	VM - 61

NON PATENT LITERATURE DOCUMENTS			
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JL	1	ZIPP, ALAN S.; Business valuation methods; 1993, AICPA	
JL	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring	
JL	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press	
JL	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press	
JL	5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company	
JL	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press	
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JL	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
JL	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
JL	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

Examiner Signature	<i>[Signature]</i>	Date Considered	1/25/2007
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Application Number</b>	
		<b>Filing Date</b>	12/22/2003
		<b>First Named Inventor</b>	Jeff S. Eder
		<b>Art Unit</b>	
		<b>Examiner Name</b>	
<b>Sheet</b> 4	<b>of</b> 8	<b>Attorney Docket Number</b>	VM - 61

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JL	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
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JL	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
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JL	15	MILLER, MERTON & MODIGLIANI, FRANCO, Dividend policy, growth and the valuation of shares, 10/1/1961,	
JL	16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions,	
JL	17	WILSON, ALBERT, Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64	
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JL	19	BUSINESS EDITORS & REAL ESTATE INDUSTRY WRITERS, EQK Realty Investors I, 4/2/1992, Press Release	
JL	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

<b>Examiner Signature</b>	<i>[Signature]</i>	<b>Date Considered</b>	1/27/2007
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Application Number</b>			
		<b>Filing Dat</b>		12/22/2003	
		<b>First Named Inventor</b>		Jeff S. Eder	
		<b>Art Unit</b>			
		<b>Examiner Name</b>			
<b>Sheet</b>	5	<b>of</b>	8	<b>Attorney Docket Number</b>	VM - 61

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JL	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio	
JL	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
JL	23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;	
JL	24	MAXSON, MARK; Will you get your money's worth?, 5/1/1993, Financial Executive	
JL	25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant	
JL	26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy	
JL	27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune	
JL	28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World	
JL	29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credi	
JL	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11	

<b>Examiner Signature</b>	<i>[Signature]</i>	<b>Date Considered</b>	1/25/2007
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		<b>Filing Date</b> 12/22/2003	
		<b>First Named Inventor</b> Jeff S. Eder	
		<b>Art Unit</b>	
		<b>Examiner Name</b>	
<b>Sheet</b> 6	<b>of</b> 8	<b>Attorney Docket Number</b> VM - 61	

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JL	31	HIRSCH, A. ETHAN, What's it worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
JL	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997; MIT	
JL	33	SIMON, CAROL J. & SULLIVAN, MARY W.; The Measurement and Determinants of Brand Equity; 10/1/1993; Ma	
JL	34	Sveiby, Karl Erik & Mellander, Klas; Tango Learning Guide Version 2.1; 1994; Celemi	
JL	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
JL	36	HBS Press; Measuring Corporate Performance; 1998; HBS Press	
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JL	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
JL	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	
JL	40	ERNST & YOUNG, Measures that Matter	

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First Named Inventor	Jeff S. Eder
Art Unit	
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Attorney Docket Number	VM-61

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JL	41	BOUQUET, PAOLO, SEARAFINI, LUCIANO, et al; Modeling and Using Context - Context 99, 09/99, Springer	
JL	42	AKMAN, VAROL, BOUQUET, PAOLO, et al; Modeling and Using Context - Context 2001, 07/01, Springer	
JL	43	KUEHNE, SVEN, et al, "SEQL: Category learning as progressive abstraction using structure mapping", 2	
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JL	45	UPTON, WAYNE, "Special Report: Business and Financial Reporting, Challenges of the New Economy," FAS	
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JL	47	REITER, RAYMOND, Knowledge in Action, 2001, MIT Press	
JL	48	TISSSEN, RENE, ANDRIESSEN, DANIEL, et al; The Knowledge Dividend, 2000, Prentice Hall	
JL	49	CLARK, PETER, et al, "Knowledge entry as graphical assembly of components", 2001, K-Cap 01	
JL	50	BLYTHE, JIM, "An Integrated Environment for Knowledge Acquisition", 2001, Intelligent User Interface	

Examiner Signature	<i>[Signature]</i>	Date Considered	1/25/2007
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	
Filing Date	12/22/2003
First Named Inventor	Jeff S. Eder
Art Unit	
Examiner Name	
Attorney Docket Number	VM - 61

Sheet 8

of 8

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JL	51	KLUGE, JURGEN, STEIN, WOLFRAM, LICHT, THOMAS, Knowledge Unplugged, 2001, Palgrave	
JL	52	QUINN, JAMES BRIAN, Intelligent Enterprise, Free Press, 1992	
JL	53	FOWLER, MARTIN; Analysis Patterns: Reusable Object Models, 1997, Addison Wesley	
JL	54	SHAFER, GLENN & VOVK, VLADIMIR, Probability and Finance, 2001, John Wiley & Sons	
JL	55	FAHY, MARTIN, Strategic Enterprise Management Systems, 2001, CIMA	

Examiner Signature		Date Considered	1/25/2007
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